SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>MANDELBAUM DAVID</u> | | | | er Name and Ticke XANDERS 1 | • | • | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|--|-------------------|----------------|------------------|---------------------------------------|-------------------|--|--|---|------------------------------|--------------------------|--|--|
| (Last) 888 SEVENTH | (First) AVENUE | (Middle) | 3. Date 05/14 | e of Earliest Transa /2020 | ction (Month/E | Day/Year) | | Officer (give title below) | Other below | (specify) | | |
| (Street) NEW YORK (City) | NY (State) | 10019 (Zip) | 4. If An | nendment, Date of | Original Filed | (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Grou Form filed by Or Form filed by Mo Person | e Reporting Per | son | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date | | | | 2A. Deemed Execution Date, | 3. Transaction | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | | |

| Date (Month/Day/Yea | | | iction Instr. | Disposed Of (D) (Instr. 3, 4 and 5) | | | | (D) or Indirect | of Indirect Beneficial Ownership | | | | | | | | | |
|---|--|------|------------------|--|---------------|-------|---|-----------------|--|--|--|--|--|--|--|--|--|--|
| | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) | | | | | | | | | |
| Table II - Derivative S (e.g., puts, c | Securities Acqui calls, warrants, (| ' | | , | | | Peported Transaction(s) (Instr. 3 and 4) y Owned | | | | | | | | | | | |

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|--|-----|--|---|---|------|---|-----|--|--------------------|--|--|---|--|--|---|
| 1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security | | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownershi (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Deferred Stock Units ⁽¹⁾ | (1) | 05/14/2020 | | A | | 329 | | (2) | (2) | Common Stock | 329 | (1) | 1,994 | D | |

Explanation of Responses:

1. On May 14, 2020, the reporting person received a grant of Deferred Stock Units of Alexander's Inc. (the "Company"). The Deferred Stock Units entitle the reporting person to an equivalent number of shares of Common Stock of the Company without the payment of any consideration.

2. These Deferred Stock Units vest immediately but the Common Stock underlying the Deferred Stock Units is not deliverable until the reporting person is no longer serving as a member of the Company's Board of Directors.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.